

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Joern Ostermann et al.

Serial No.: 10/003,091

Filed: November 2, 2001

FOR: SYSTEM AND METHOD FOR  
RECEIVING MULTI-MEDIA MESSAGES

Confirmation No.: 5339

Attorney Ref.: 2000-0600A

Art Unit: 2611

Examiner: Unknown

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DEC 01 2004INFORMATION DISCLOSURE STATEMENTMAIL STOP: Patent Application  
The Commissioner for Patents  
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Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA Application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required. The non-patent art can be found in US Application numbers 09/999,505 and 09/999,526.
- ☐ 2. The references were cited by or submitted to the Office in parent Application No. , which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these references are not attached. 37 C.F.R. § 1.98(d).

Respectfully submitted,

Date: December 1, 2004

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## PATENT APPLICATION

PTO/SB/08A MODIFIED

Substitute for form 1449A/PTO		Complete If Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/003,091
		Filing Date	November 2, 2001
		First Named Inventor	Joern Ostermann et al.
		Group Art Unit	2611
		Examiner Name	Unknown
Sheet 1 of 2	Attorney Docket Number	2000-0600A	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.*	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
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		US 5,640,590		LUTHER, Willis J.	06-1997	
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		US 2003/0046160		PAZ-PUJALT et al.	03-2003	

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**PATENT APPLICATION**  
PTO/SB/08A MODIFIED

Substituted for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b>			
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		Filing Date	November 2, 2001		
		First Named Inventor	Joern Ostermann et al.		
		Group Art Unit	2611		
Examiner Name	Unknown				
Sheet	2	of	2	Attorney Docket Number	2000-0600A

OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite. No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	□
	1	David Kurlander, Tim Skelly, David Salesin, "Comic Chat", Proceedings of the 23 <sup>rd</sup> Annual Conference on Computer Graphics and Interactive Techniques, August 1996	<input type="checkbox"/>
	2	Pollack, "Happy in the East or Smiling in the West", New York Times, 12 August 1996.	<input type="checkbox"/>
	3	Pelachaud et al., "Generating Facial Expressions for Speech", Cognitive Science, Jan. 3, 1996, Vol. 20, no. 1, pp. 1-46.	<input type="checkbox"/>
	4		<input type="checkbox"/>
	5		<input type="checkbox"/>
	6		<input type="checkbox"/>

Examiner Signature		Date Considered	
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